SEC Form 4
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## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPRC	DVAL									
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addro <u>Klein Rosen</u> (Last)	ess of Reporting Pers <u>nary L</u> (First)	son <sup>*</sup> (Middle)	<u>AR(</u>	uer Name <b>and</b> Tick	<u>CES, IN</u>	<u>.</u> [ ARCH ]		tionship of Reportir all applicable) Director Officer (give title below)	Director 10% Owner Officer (give title Other (specify		
ONE CITYPL	( )	(Midule)	10/1.	3/2023				Sr. VP, General Counsel & Sec		Sec	
SUITE 300			4. If A	mendment, Date o	f Original Filed	l (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applic Line)				
(Street)							X	Form filed by One	e Reporting Pers	son	
ST. LOUIS	МО	63141						Form filed by Mo Person	re than One Rep	porting	
(City)	(State)	(Zip)	Rul	Rule 10b5-1(c) Transaction Indication							
			X	Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.							
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1. Title of Security	y (Instr. 3)		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any	3. Transaction Code (Instr.	4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4		5. Amount of Securities Beneficially	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial	

	(Month/Day/Year)	if any (Month/Day/Year)	Code (Instr.					Beneficially Owned Following Reported	(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	10/13/2023		М		378	Α	(1)	8,377	D	
Common Stock	10/13/2023		F		168(2)	D	\$154.48	8,209	D	
Common Stock	10/13/2023		М		758	Α	(1)	8,967	D	
Common Stock	10/13/2023		F		336 <sup>(2)</sup>	D	\$154.48	8,631	D	
Common Stock	10/16/2023		S <sup>(3)</sup>		316	D	\$154.91	8,315	D	

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Nu of Deriv Secu Acqu (A) of Dispo of (D) (Instr and 5	vative rities hired r osed ) r. 3, 4	6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares						
Restricted Stock Units	(1)	10/13/2023		М			378	(4)	(4)	Class A Common Stock	378	\$0.00	0	D			
Restricted Stock Units	(1)	10/13/2023		М			758	(4)	(4)	Class A Common Stock	758	\$0.00	1,514	D			

**Explanation of Responses:** 

1. Each restricted stock unit represents a contingent right to receive one share of Class A Common Stock of Arch Resources, Inc. (the "Company").

2. These shares were withheld by the Company to satisfy the reporting person's tax withholding obligations.

3. The sale of shares was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on December 7, 2022 and represents an automatic sale to cover tax obligations and for other purposes. 4. The restricted stock units are fully vested.

**Remarks:** 

<u>/s/ Rosemary L. Klein,</u> <u>Attorney-in-Fact</u>

10/16/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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